PTO/SB/21 (04-07) Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/629,306 TRANSMITTAL Filing Date July 29, 2003 First Named Inventor **FORM** Yasuhiro Matsunuma Art Unit 2626 Examiner Name Justin W. Rider (to be used for all correspondence after initial filing) Attorney Docket Number 051270-0305305

Tota	ai Number of	Pages in This Submission								
ENCLOSURES (Check all that apply)										
<b>V</b>		smittal Form		Drawing(s)			After Allowance Communication to TC  Appeal Communication to Board			
Fee Attached   Licensing-related Papers							of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): STCARD 0/SB/17 (5) References			
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT									
Firm N	lame	PILLSBURYWINTHROP	SHAW	PITTMAN LLP						
Signati	ure	Koa	JK	, Wse			,			
Printed name ROGER R. WISE										
Date	Date SEPTEMBER 27, 2007 Reg. No. 31204									
CERTIFICATE OF TRANSMISSION/MAILING										
sufficie the dat	ent postage te shown b	as first class mail in an em	eing fac velope a	simile transmitted to the USF addressed to: Commissioner	PTO or depos for Patents, F	ited with P.O. Box	h the United States Postal Service with  1450, Alexandria, VA 22313-1450 on			
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ROGER R. WISE

Typed or printed name

Date

SEPTEMBER 27, 2007

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tors rant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		10/629,306
FEE TRANSMITTAL	Filing Date	July 29, 2003
For FY 2007	First Named Inventor	Yasuhiro Matsunuma
	Examiner Name	Justin W. Rider

MADE TO A A		TTAL	Application Number	10/629,306		
FEE TRAN	12MI	IIAL	Filing Date	July 29, 2003		
For FY	2007		First Named Inventor	Yasuhiro Matsunuma		
Applicant claims small entity st	tatua Saa 3	7 CER 1 27	Examiner Name	Justin W. Rider		
Applicant claims small entity si	T		Art Unit	2626		
TOTAL AMOUNT OF PAYMENT	(\$)	180.00	Attorney Docket No.	051270-0305305		
METHOD OF PAYMENT (chec	k all that ap	oply)				
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information and authorization											
FEE CALCULATION								•			
1. BASIC FILING, SEA	FILING	FEES		CH FEES		TION FEES		•			
<b>Application Type</b>	Fee (\$)	Small Entity Fee (\$)	<u>Fee (\$)</u>	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)				
Utility	300	150	500	250	200	100					
Design	200	100	100	50	130	65					
Plant	200	100	300	150	160	80					

2. EXCESS CLAIM Fee Description Each claim over 3		Reissnes)				Fee (\$)	Small Entity Fee (\$) 25
Provisional	200	100	0	0	0	. 0	
Reissue	300	150	500	250	600	300	
Plant	200	100	300	150	160	80	
Design	200	100	100	50	130	65	
Utility	300	150	500	250	200	100	

Fee Description				<u>Fee (\$)</u>	Fee (\$)
Each claim over	20 (including Reiss	ues)		50	25
	nt claim over 3 (inch	•	es)	200	100
Multiple depend	•	J	•	360	180
Total Claims	Extra Claims	<u>Fee (\$)</u>	Fee Paid (\$)	Multiple De	pendent Claims
11 20 or	HP = 20 (HP) x	·	=	Fee (\$)	Fee Paid (\$)

HP = highest number of total claims paid for, if greater than 20. Indep. Claims Extra Claims Fee Paid (\$) Fee (\$)

9 - 3 or HP = 9 (HP) ×

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50

sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) x

4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): IDS 180.00

SUBMITTED BY			·	1					
Signature	1	1/0	4./ A	<i>-</i>	$\int$	هم	Registration No. (Attorney/Agent)	31204	Telephone 213-488-7100
Name (Print/Type)	ROGER R.	WISE	<u>.</u> 0	•					Date SEPTEMBER 27, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Docket Number: 051270-0305305

Ollent Reference: H7958US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PADENTA Re the Application of

YASUHIRO MATSUNUMA

**Group Art Unit:** 

Application No.: 10/629,306

Examiner: Justin W. Rider

OCT 0 3 2007

Filed: July 29, 2003

Confirmation No.: 6432

For: AUDIO DATA PROCESSING APPARATUS AND AUDIO DATA DISTRIBUTING

**APPARATUS** 

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicants respectfully request the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicants with the next Office communication to indicate that the references have been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

Please charge Deposit Account 033975 in the amount of \$180.00 in payment of the fee under 37 CFR 1.17(p). Please credit or debit Deposit Account 033975 as needed to ensure consideration of the disclosed information.

10/03/2007 EAYALEW1 00000038 033975 10629306

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Docket Number: 051270-0305305 Client Reference: H7958US

English-language Abstracts of the non-English language references are attached hereto.

Respectfully Submitted,
PILLSBURY WINTHROP SHAW PITTMAN LLP

Roger R. Wise

Registration Number 31204 Customer Number: 27496

Date: <u>September 27, 2007</u> Telephone: (213) 488-7100 Facsimile: (213) 629-1033 725 South Figueroa Street

**Suite 2800** 

Los Angeles, CA 90017-5406

## CERTIFICATION UNDER 37 C.F.R. §§ 1.8 and/or 1.10\*

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I hereby certify that, on the date shown below, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: September 27, 2007

DOCED D WISE

Signature

ROGER R. WISE

(type or print name of person certifying)

<sup>\*</sup> Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.